

Please type a plus sign (+) inside this box → ☐

PTO/SB/05 (03-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 6958.US.02
First Inventor Nwe BaMaung
Title See 1 in Addendum
Express Mail Label No. EV314261857US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
 2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
 3. ☒ Specification [Total Pages 36]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
 4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets]
 5. Oath or Declaration [Total Pages - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement of Power of Attorney (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other Express Mail Certificate.....
Unexecuted Dec. & POA.....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: _____ / _____

Prior application information:

Examiner _____

Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS


☒ Customer Number or Bar Code Label

23492

or ☐ Correspondence address below

(Insert Customer No. or Attach bar label here)

| | | | |
|---------|-----------|----------|--|
| Name | | | |
| Address | | | |
| City | State | Zip Code | |
| Country | Telephone | Fax | |

| | | | |
|-------------------|---|-----------------------------------|--------|
| Name (Print/Type) | Gregory W. Steele | Registration No. (Attorney/Agent) | 33796 |
| Signature |  | Date | 8/6/03 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

22240 U.S. PTO
10/635342
08/06/03

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL

for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 750.00)

Complete if Known

Application Number _____
 Filing Date August 6, 2003
 First Named Inventor Nwe BaMaung
 Examiner Name _____
 Art Unit _____
 Attorney Docket No. 6958.US.02

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None
☒ Deposit Account:
 Deposit
 Account
 Number
 Deposit
 Account
 Name

01-0025

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Code (\$) | Fee Code (\$) | Fee Description | Fee Paid |
|--------------|--------------|---------------|---------------|------------------------|-------------|
| 1001 | 2001 | 750 | 375 | Utility filing fee | 750.00 |
| 1002 | 2002 | 330 | 165 | Design filing fee | |
| 1003 | 2003 | 520 | 260 | Plant filing fee | |
| 1004 | 2004 | 750 | 375 | Reissue filing fee | |
| 1005 | 2005 | 160 | 80 | Provisional filing fee | |
| SUBTOTAL (1) | | | | | (\$ 750.00) |

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims 18 -20** = 0 X Fee from below = 0
 Independent Claims 1 -3** = 0 X Fee Paid = 0
 Multiple Dependent _____

| Large Entity | Small Entity | Fee Code (\$) | Fee Code (\$) | Fee Description |
|--------------|--------------|---------------|---------------|--|
| 1202 | 2202 | 18 | 9 | Claims in excess of 20 |
| 1201 | 2201 | 84 | 42 | Independent claims in excess of 3 |
| 1203 | 2203 | 280 | 140 | Multiple dependent claim, if not paid |
| 1204 | 2204 | 84 | 42 | ** Reissue independent claims over original patent |
| 1205 | 2205 | 18 | 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ 0.00)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

| Fee Code (\$) | Fee Code (\$) | Fee Description | Fee Paid |
|---------------|---------------|--|----------|
| 1051 | 2051 | 130 65 Surcharge - late filing fee or oath | |
| 1052 | 2052 | 50 25 Surcharge - late provisional filing fee or cover sheet | |
| 1053 | 1053 | 130 130 Non-English specification | |
| 1812 | 1812 | 2,520 2,520 For filing a request for ex parte reexamination | |
| 1804 | 1804 | 920* 920* Requesting publication of SIR prior to Examiner action | |
| 1805 | 1805 | 1,840* 1,840* Requesting publication of SIR after Examiner action | |
| 1251 | 2251 | 110 55 Extension for reply within first month | |
| 1252 | 2252 | 410 205 Extension for reply within second month | |
| 1253 | 2253 | 930 465 Extension for reply within third month | |
| 1254 | 2254 | 1,450 725 Extension for reply within fourth month | |
| 1255 | 2255 | 1,970 985 Extension for reply within fifth month | |
| 1401 | 2401 | 320 160 Notice of Appeal | |
| 1402 | 2402 | 320 160 Filing brief in support of an appeal | |
| 1403 | 2403 | 280 140 Request for oral hearing | |
| 1451 | 1451 | 1,510 1,510 Petition to institute a public use proceeding | |
| 1452 | 2452 | 110 55 Petition to revive - unavoidable | |
| 1453 | 2453 | 1,300 650 Petition to revive - unintentional | |
| 1501 | 2501 | 1,300 650 Utility issue fee (or reissue) | |
| 1502 | 2502 | 470 235 Design issue fee | |
| 1503 | 2503 | 630 315 Plant issue fee | |
| 1460 | 1460 | 130 130 Petitions to the Commissioner | |
| 1807 | 1807 | 50 50 Processing fee under 37 CFR 1.17(q) | |
| 1806 | 1806 | 180 180 Submission of Information Disclosure Stmt | |
| 8021 | 8021 | 40 40 Recording each patent assignment per property (times number of properties) | |
| 1809 | 2809 | 750 375 Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1810 | 2810 | 750 375 For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1801 | 2801 | 750 375 Request for Continued Examination (RCE) | |
| 1802 | 1802 | 900 900 Request for expedited examination of a design application | |

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

(Complete if applicable)

Name (Print/Type) Gregory W. Steele Registration No. (Attorney/Agent) 33796 Telephone 847-937-0042
 Signature [Signature] Date 8/6/03

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Nwe BaMaung, et al

Serial No.: (Not assigned yet)

Group No.: (Not assigned yet)

Filed: August 6, 2003

Examiner: (Not assigned yet)

For: **3-AMINO-2-HYDROXYALKANOIC ACIDS AND THEIR PRODRUGS**

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EV314261857US

Date of Deposit: August 6, 2003

I hereby state that the following *attached* papers or fees

Utility Patent Application Transmittal -- in duplicate

Fee Transmittal -- in duplicate

Specification (32 pages); 18 Claims (3 pages); Abstract (1 page); **Total: 36 pages**

Unexecuted Declaration and Power of Attorney

Information Disclosure Statement

Form PTO-1449, in duplicate

References as cited on PTO-1449 (4 references)

Return-Receipt Postcards

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10, on the date indicated above and is addressed to:

Mail Stop Patent Application

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450


Tanya Parent Date 8/6/03

(Express Mail Certificate)

Addendum

1. 3-AMINO-2-HYDROXYALKANOIC ACID AND THEIR PRODRUGS